

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

1300 I STREET, N.W.
WASHINGTON, DC 20005-3315

202 • 408 • 4000
FACSIMILE 202 • 408 • 4400

ATLANTA
404•653•6400
PALO ALTO
650•849•6600



WRITER'S DIRECT DIAL NUMBER:

(202) 408-4024

A
09/652157 PTO
09/652157 PTO
TOKYO
033-3431-0343
011-322-646-0353
BRUSSELS

August 31, 2000

ATTORNEY DOCKET NO.: 04329.2371

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

New U.S. Patent Application

Title: EXTENDED KEY GENERATOR, ENCRYPTION/DECRYPTION UNIT,
EXTENDED KEY GENERATION METHOD, AND STORAGE MEDIUM
Inventors and Addresses:

Motoji OOMORI
Hirakata-shi, Japan

Kaoru YOKOTA
Ashiya-shi, Japan

Tsutomu SEKIBE
Hirakata-shi, Japan

Makoto TATEBAYASHI
Takarazuka-shi, Japan

Fumihiko SANO
Tokyo, Japan

Shinichi KAWAMURA
Tokyo, Japan

Sir:

We enclose the following papers for filing in the United States Patent and Trademark Office in connection with the above patent application.

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Assistant Commissioner for Patents
August 31, 2000
Page 2

1. A check for \$1266 representing the filing fee.
2. Application - 43 pages, including 9 independent claims and 26 claims total.
3. Drawings - 15 sheets of formal drawings containing 21 figures.
4. Certified copy of Japanese Patent Application No. 11-244176, filed August 31, 1999.

This application is being filed under the provisions of 37 C.F.R. § 1.53(f).
Applicants await notification from the Patent and Trademark Office of the time set for filing the Declaration.

Applicants claim the right to priority based on Japanese Patent Application No. 11-244176, filed August 31, 1999.

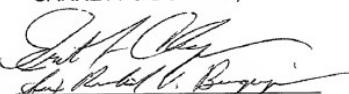
Please accord this application a serial number and filing date.

The Commissioner is hereby authorized to charge any additional filing fees due and any other fees due under 37 C.F.R. § 1.16 or § 1.17 during the pendency of this application to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:



Richard V. Burguijan

Reg. No. 31,744

ERNEST F. CHAPMAN
Reg. No. 25,961

RVB/FPD/dvz
Enclosures

TITLE OF THE INVENTION

EXTENDED KEY GENERATOR, ENCRYPTION/DECRYPTION UNIT,
EXTENDED KEY GENERATION METHOD, AND STORAGE MEDIUM

CROSS-REFERENCE TO RELATED APPLICATIONS

5 This application is based upon and claims the benefit of priority from the prior Japanese Patent Application No. 11-244176, filed August 31, 1999, the entire contents of which are incorporated herein by reference.

10 BACKGROUND OF THE INVENTION

The present invention relates to an extended key generator, encryption/decryption unit, and storage medium, which are applied to secret key block cipher.

15 In the fields of recent computer and communication technologies, a cryptography technology for transmitting encrypted transmission data, and restoring the received contents by decrypting received data is prevalent. In such cipher technology, a cryptography algorithm that uses a secret key (to be referred to as 20 a common key hereinafter) in both encryption and decryption is called common key cipher. In common key cipher, an input message is segmented into input blocks each having a fixed length, and the segmented blocks undergo randomization based on a key to generate 25 ciphertext. As such common key cipher, a scheme called, e.g., DES (data encryption standard) is prevalently used.

In encryption based on DES, as shown in FIG. 1A, data obtained via initial permutation IP of plaintext undergoes 16 processes using round functions.

Furthermore, the data that has undergone 16 rounds
5 undergoes inverse permutation IP^{-1} of the initial permutation, thus obtaining ciphertext. On the other hand, by giving an extended key generated from the original key to each round function, a process in that round function is executed.

10 That is, an encryption apparatus based on DES has as principal building components a data randomization part for randomizing data to be encrypted using a large number of round functions, and a key generator for giving an extended key to each round function of the
15 data randomization part. Note that the conventional key generator generates a key by rearranging bits using a table or wiring lines, using the same key as that of a data encryption unit, or randomly extracting from key bits.

20 In decryption based on DES, as shown in FIG. 1B, data to be decrypted undergoes 16 rounds in an order inverse to that upon encryption. Hence, a key generator generates extended keys in order from a key used in the last round function upon encryption.

25 The first merit in DES lies in the arrangement of encryption and decryption circuits; they can commonize most components. That is, as shown in FIGS. 1A and 1B,

00100000000000000000000000000000

an identical circuit is used for the round functions of the data randomization part, although the input order of extended key is reversed upon encryption and decryption.

5 The second merit of DES is a small number of keys to be managed, since encryption and decryption are done using a single common key. In DES, in order to generate extended keys in normal and reverse orders on the basis of a sole common key, the key generator
10 executes the following processes.

That is, a common key undergoes left rotate-shift (left rotation) to generate each extended key. Note that the total value of rotation amounts is defined to match the number of bits of the common key, and an
15 intermediate key is finally returned to an initial state (common key). In this manner, the last extended key upon encryption can be generated to have the same value as that of the first extended key upon decryption. Upon decryption, a common key undergoes
20 right rotate-shift (right rotation) to generate extended key in reverse order.

However, since the processes of the key generator are implemented by only permutation processes in DES, key generally called weak keys which have low security
25 are present. Note that the weak keys mean extended keys which have identical values, and include a case wherein all extended keys K1 to K16 are equal to each

00758075125960

other ($K_1 = K_2 = \dots = K_{16}$), and a case wherein half extended keys K_1 to K_8 and K_9 to K_{16} are equal to each other ($K_1 = K_{16}$, $K_2 = K_{15}$, ..., $K_8 = K_9$).

However, generation of such weak keys is not
5 a menace but can be sufficiently prevented by adding
a device for removing input of a common key having
a pattern for generating weak keys to an extended key
generator, or adding to a cipher generation apparatus
a device for determining whether or not generated
10 extended keys are weak keys, and removing them if they
are weak keys.

However, when such device that prevents generation
of weak keys is added, the prices of the extended key
generator and encryption/decryption unit rise, and also
15 their circuit scales increase.

In addition to DES, a cryptosystem that can offer
cryptological robustness upon using different extended
keys in units of round functions by preventing
generation of weak keys, and can improve the
20 cryptological robustness has been demanded.

As described above, in the conventional extended
key generator and encryption/decryption unit, when
a device that prevents generation of weak keys is
added to avoid low security, the prices of the extended
25 key generator and encryption/decryption unit rise, and
also their circuit scales increase.

Even when generation of weak keys is prevented,

003102-52565690

processes in the key generator does not so contribute to improvement in cryptological robustness, and improvement in cryptological robustness is demanded.

BRIEF SUMMARY OF THE INVENTION

5 The present invention has been made in consideration of the above situation, and has as its object to provide an extended key generator, encryption/decryption unit, extended key generation method, and storage medium, which can improve 10 randomness of extended keys while suppressing an increase in apparatus price and circuit scale and preventing generation of weak keys, and can improve cryptological robustness.

15 According to the first aspect of the present invention, there is provided an extended key generator which has a plurality of cascade-connected key transform function sections for receiving different keys in units of rounds, and generating extended keys on the basis of the input keys, wherein each key 20 transform function section comprises first key transform means for executing a transform process using a predetermined substitution table on the basis of a first key obtained from the input key, and extended key computation means for computing the extended key on 25 the basis of a transformed result of the first key transform means, and a second key obtained from the input key.

According to another aspect of the present invention, there is provided an encryption/decryption unit which comprises an extended key generator, comprising a data randomization part for encrypting input plaintext on the basis of the extended keys generated by the key transform function sections and outputting ciphertext, and decrypting input ciphertext and outputting plaintext.

According to still another aspect of the present invention, there is provided an extended key generation method, comprising the steps of: inputting different keys ($KC, kcl, \dots, kcn-1$) in units of rounds; generating a first key from the inputted key; transforming the generated first key by using a predetermined substitution table; and computing an extended key on the basis of the transformed result and a second key obtained from the inputted key.

According to still another aspect of the present invention, there is provided a computer readable storage medium which stores a program for making a computer: generate a first key from different keys ($KC, kcl, \dots, kcn-1$) inputted in units of rounds; transform the generated first key by using a predetermined substitution table; and compute an extended key on the basis of the transformed result and a second key obtained from the inputted key.

007605257-00000

According to the present invention, in each key transform function section, the first key transforming means executes a transforming process using a predetermined substitution table on the basis of the first key obtained from an input key, and the extended key computing means computes an extended key on the basis of the transformed result of the first key transforming means and a second key obtained from the input key.

In this manner, since a simple arrangement without adding any external device is used, and a nonlinear transforming process using a substitution table is done upon generating each extended key, the apparatus price and scale can be suppressed and the randomness of extended keys can be improved while preventing generation of weak keys, thus improving cryptological robustness.

Furthermore, the data randomization part has a plurality of substitution tables for encryption and decryption, and one of the substitution tables of the data randomization part is common to those of the first key transforming means, thus reducing the circuit scale of the apparatus.

According to the present invention, there can be provided an extended key generator, encryption/decryption unit, extended key generation method, and storage medium, which can improve randomness of

extended keys while suppressing an increase in apparatus price and circuit scale and preventing generation of weak keys, and can improve cryptological robustness.

5 Additional objects and advantages of the invention will be set forth in the description which follows, and in part will be obvious from the description, or may be learned by practice of the invention. The objects and advantages of the invention may be realized and
10 obtained by means of the instrumentalities and combinations particularly pointed out hereinafter.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

15 The accompanying drawings, which are incorporated in and constitute a part of the specification, illustrate presently preferred embodiments of the invention, and together with the general description given above and the detailed description of the preferred embodiments given below, serve to explain the principles of the invention.

20 FIGS. 1A and 1B are block diagram for explaining DES as an example of conventional common key cipher;

FIG. 2 is a block diagram showing the arrangement of an encryption/decryption unit according to the first embodiment of the present invention;

25 FIG. 3 is a block diagram showing the arrangement of an extended key generator in the encryption/decryption unit of the first embodiment;

00000000000000000000000000000000

FIGS. 4A and 4B are views for explaining setup values of constant registers in the first embodiment;

FIG. 5 is a view for explaining the configuration of an S box in the first embodiment;

5 FIG. 6 is a view for explaining setups of a rotate shifter in the first embodiment;

FIG. 7 is a block diagram showing the structure of a round function in the first embodiment;

10 FIG. 8 is a flow chart showing the operation of the encryption/decryption unit;

FIG. 9 is a diagram for explaining the operation in the first embodiment;

15 FIG. 10 is a block diagram showing the arrangement of a key transform function applied to an extended key generator according to the second embodiment of the present invention;

FIG. 11 is a block diagram showing the arrangement of an extended key generator according to the third embodiment of the present invention;

20 FIG. 12 is a view for explaining setups of a substitution part in the third embodiment;

FIG. 13 is a flow chart showing the operations of the embodiment shown in FIG. 11;

25 FIG. 14 is a functional block diagram showing the arrangement of a smart card that embodies the extended key generator, encryption/decryption unit, and storage medium of the present invention;

09552157 - 0955100

FIG. 15 is a diagram for explaining an encryption/decryption unit according to the fourth embodiment of the present invention;

5 FIG. 16 is a diagram for explaining a modification of the fourth embodiment;

FIG. 17 is a diagram for explaining another modification of the fourth embodiment; and

FIGS. 18A and 18B are diagrams for explaining modifications of the fourth embodiment.

10 DETAILED DESCRIPTION OF THE INVENTION

The preferred embodiments of the present invention will be described hereinafter with reference to the accompanying drawings.

(First Embodiment)

15 FIG. 2 is a block diagram showing the arrangement of an encryption/decryption unit according to the first embodiment of the present invention, and FIG. 3 is a block diagram showing the arrangement of an extended key generator in the encryption/decryption unit shown
20 in FIG. 2.

This encryption/decryption unit is implemented as an encryption/decryption processor for a computer such as a personal computer, workstation, or the like, and executes encryption and decryption by hardware or software. More specifically, the encryption/decryption unit comprises an extended key generator 10 for generating n extended keys K₁ to K_n from a common key,

and a data randomization part 20 for encrypting or
decrypting using the extended keys K1 to Kn generated
by the extended key generator 10 in order in rounds R1
to Rn. That is, the extended key generator 10 and data
randomization part 20 are commonly used in encryption
and decryption, and when the encryption/decryption unit
is implemented by software, programs indicating their
operations are installed in advance from a storage
medium. Note that a permutation process may be
inserted between the extended key generator 10 and data
randomization part 20.

The extended key generator 10 has
cascade-connected key transform functions fk1 to fkn
(to be also simply referred to as a key transform
function fk hereinafter), which respectively correspond
to the rounds R1 to Rn. Upon receiving a common key KC
or intermediate key transformed results kcl to kcn-1,
the key transform functions fk1 to fkn output the
extended keys K1 to Kn obtained by transforming these
inputs to round functions fr1 to frn of the data
randomization part 20, and input separately obtained
intermediate key transform functions kcl to kcn-1 to
key transform functions fk2 to fkn of the next stage.

The key transform functions fk1 to fkn
respectively comprise temporary key registers 111
to 11n, constant registers 121 to 12n, XOR elements 131
to 13n, S boxes 141 to 14n, extended transformers 151

to 15_n , adders 16_1 to 16_n , and rotate shifters 17_1 to 17_{n-1} , as shown in FIG. 3. Note that a rotate shifter 17_n of the last stage is omitted since there is no key transform function $fk(n+1)$ in the next stage.

5 The temporary key register 11_i (for $1 \leq i \leq n$; the same applies to the following description) holds a common key input to the extended key generator 10 or an intermediate key transformed result input from a key transform function $kf(i-1)$ of the previous stage, and
10 a 56-bit register is used in this embodiment.

15 The constant register 12_i is set with a constant in correspondence with the number of rounds to which a key transform function fki belongs, and can supply that constant to the XOR element 13_i . More specifically, as shown in FIG. 4A that exemplifies the number n of rounds = 16, constants to be held in the constant registers 12_i are symmetrically set (former and latter halves have symmetric constants) to have central values ($n = 8, 9$) of the number of rounds as the center,
20 since the constant registers 12_i must also be able to generate extended keys $K1$ to $K16$ in reverse order ($K16$ to $K1$). However, the present invention is not limited to such specific setup, and constants to be held can be arbitrarily set as long as extended keys $K1$ to $K16$ must also be able to generated in reverse order ($K16$ to $K1$). For example, as shown in FIG. 4B, constants may be reversed between encryption and
25

00110110-11100111-00110110-11100111

decryption. Note that the constant register 12 need only set at least one of the constants to be held to be different from those of other registers, as shown in FIG. 4A. For example, the constant may be set such as
5 CONST12i = i.

The XOR element 13_i computes the XOR (exclusive logical sum) of a first key KA consisting of 8-bit data in the temporary key register 11_i, and the constant in the constant register, and inputs the obtained 8-bit
10 computation result to the S box.

The S (substitution) box 14_i prevents generation of weak keys (identical extended keys in all stages). More specifically, the S box 14_i has a function of nonlinearly transforming an 8-bit value input
15 from the XOR element 13_i and inputting the obtained 8-bit transformed result to the extended transformer 15_i. The S box 14_i nonlinearly transforms using a substitution table for substituting input and output bits, as shown in, e.g., FIG. 5. For example, if input
20 bits are (00000001), the S box 14_i considers that information (00000001) as binary expression, and converts that binary expression to a value "1" as decimal expression.

The S box 14_i then looks up the substitution table
25 shown in FIG. 5. Assuming that "48" that appears first is the 0th element, the S box 14_i determines the "first" element "54" (decimal expression), and outputs

00000001000000000000000000000000

(00110110) as its binary expression as output bits.

In this way, input bits (00000001) can be substituted with output bits (00110110).

5 Note that the substitution table shown in FIG. 5 holds the 0th to 255th elements corresponding to 256 inputs, as described above, and is used to determine a value ranging from 0 to 255 upon receiving a value ranging from 0 to 255.

10 Also, the S box 14_i is preferably commonly used as some S boxes in the round function f_k to be described later to attain a scale reduction of the apparatus.

15 The extended transformer 15_i transforms the 8-bit transformed result input from the S box 14_i into a larger value. In this embodiment, the extended transformer 15_i has a function of extending the 8-bit transformed result by shifting it to the left by 4 bits and embedding "0" in lower 4 bits, and inputting the obtained 12-bit extended transformed result to the adder 16_i .

20 Note that the shift amount of the extended transformer 15_i is preferably equivalently half ($= 4$ bits) the number of output bits ($= 8$) of the S box 14_i , since the output bits of the S box 14_i are reflected in two S boxes S_3 and S_4 in the 25 data randomization part 20. Note that the term "equivalently" means that a modification which adds an integer multiple of the number of outputs bits like

12 ($= 4 + 8 \times 1$) bit shift or 20 ($= 4 + 8 \times 2$) bit shift
(in other words, a modification that has a shift amount
which makes the remainder equal the number of bits half
 $(= 4)$ the divisor) is included in addition to 4-bit
shift. When the output bits of the S box 14_i undergo
12-bit shift, they are reflected in S boxes S2 and S3
in place of S boxes S3 and S4; when the output bits
undergo 20-bit shift, they are reflected in S boxes S1
and S2. When the output bits of the S box 14_i are
reflected in two S boxes S3 and S4 (including S2 and S3
or S1 and S2), the combination of bits is not limited
to that of 4 bits, but may be combinations of 1 bit
and 7 bits, 2 bits and 6 bits, or 3 bits and 5 bits may
be used in any order. That is, equivalent 1 to 3 and 5
15 to 7 bit shifts may be used in addition to equivalent
4-bit shift.

The adder 16_i has a function of adding (normal addition with carry-up) the 12-bit extended transformed result input from the extended transformer 15_i and a second key KB consisting of 32-bit data in the temporary key register 11_i , and inputting the obtained sum (32 bits (carried out (bit) is ignored) to the round function fri of the data randomization part 20 as an extended key Ki of a round Ri .

25 Note that the first and second keys KA and KB are
individually extracted from continuous areas of the
temporary key register 11i. However, the present

invention is not limited to this, and these keys may be extracted from discontinuous areas. That is, the first key KA can be a total of arbitrary 8-bit data in the temporary key register 11_i , and the second key KB can be a total of arbitrary 32-bit data in the temporary key register 11_i . The first and second keys KA and KB may overlap each other. Note that the bit length of the first key KA is preferably equal to the input bit length of the S box of the data randomization part 20 to commonly use the S boxes. The bit length of the second key KB is preferably equal to that of the extended key Ki to simplify design (note that the bit length of the second key KB may be different from that of the extended key Ki, as needed, and in such case, the bit length of the extended key Ki can be finally adjusted by, e.g., contracted or extended permutation).

The rotate shifter 17_i rotates the value of the temporary key register 11_i by a predetermined shift amount, and inputs the rotated value to a temporary key register 11_{i+1} of the next stage. In this embodiment, shift amounts are in units of key transform functions fkl to fkn , as shown in FIG. 6. Note that the shift amount of the rotate shifter 17_i is preferably relatively prime to at least either the number of bits of the common key KC or the number of output bits of the S box 14_i so as to improve randomness of keys, and these three values are most preferably prime to each

00TE80/Z5T25960

other. The shift amounts are symmetrically set (former and latter halves have symmetric constants) to have a central value ($n = 8$) of the key transform functions f_{k1} to $f_{k(n+1)}$ except for the last stage, since
5 extended keys K_1 to K_{16} must also be able to generate extended keys K_1 to K_{16} in reverse order (K_{16} to K_1). However, the present invention is not limited to such specific setup, and the shift amounts and rotation direction of the rotate shifters 17_i can be arbitrarily
10 set as long as extended keys K_1 to K_{16} are also able to generate extended keys K_1 to K_{16} in reverse order (K_{16} to K_1).

On the other hand, the data randomization part 20 has an encryption function of encrypting input
15 plaintext and outputting ciphertext when it receives extended keys K_1 to K_{16} in order from the extended key generator 10 in n rounds from rounds R_1 to R_n . On the other hand, the part 20 has a decryption function of decrypting input ciphertext and outputting plaintext
20 when it receives extended keys K_{16} to K_1 from the extended key generator 10 in an order reverse to that in encryption. The data randomization part 20 has the round functions f_{r1} to f_{rn} which are cascade-connected in order in correspondence with the rounds R_1 to R_{16} .

25 The round function f_{ri} is a function of transforming plaintext or an intermediate encrypted result on the basis of the extended key K_i input

00168074525560

from the extended key generator 10, and outputting
an intermediate encrypted result or ciphertext in
encryption, and is also a function of transforming
ciphertext or an intermediate decrypted result on the
5 basis of the extended key $K(n+1-i)$ input in reverse
order from the extended key generator 10, and
outputting an intermediate decrypted result or
plaintext in decryption process. In this embodiment,
for example, the round function fri uses the Feistel
10 structure shown in FIG. 7.

The Feistel structure shown in FIG. 7 comprises
the following arrangement. That is, of input data
blocks made up of two subblocks L_i and R_i , one subblock
 R_i is nonlinearly transformed using an F function on
15 the basis of the extended key K_i , the XOR of this
transformed result and the other subblock L_i is
computed by an XOR element 21, and the computation
result R_{i+1} and one subblock L_{i+1} ($= R_i$) are supplied
to the next stage while interchanging their positions.

20 Note that the F function in FIG. 7 comprises
an XOR element 22 that XORS the extended key K and
subblock R_i (or L_i), and four S boxes S1 to S4 for
segmenting the output from the XOR element 22 into four
elements, and respectively nonlinearly transforming
25 these elements. Note that the S boxes S1 to S4 have
a substitution table shown in, e.g., FIG. 5, and the
respective S boxes may have a common substitution table

but may have different ones.

Note that transformation done by each round function f_r has a nature called involution, i.e., that original data is restored when identical transformation repeats itself twice. For this reason, when ciphertext is generated by transforming plaintext in the order of extended keys K_1 to K_{16} , the data randomization part 20 can generate plaintext by re-transforming this ciphertext in the order of extended keys K_{16} to K_1 .

The operation of the encryption/decryption unit with the aforementioned arrangement will be explained below also with reference to the flow chart shown in FIG. 8.

Upon encryption, as shown in FIG. 2, an input common key K_C or intermediate key transformed result k_{ci} is transformed into an extended key K_i in each round using the key transform function f_{ki} .

More specifically, as shown in FIG. 9, in the key transform function f_{ki} , the XOR element 13_i XORs the 8-bit first key K_A extracted from the temporary key register 11_i , and a constant in the constant register 12_i (step S1 in FIG. 8), and the S box 14_i linearly transforms this XOR (step S3 in FIG. 8). As nonlinear transformation, the input and output are substituted in units of bits to have the relationship shown in, e.g., FIG. 5. This substitution result is left-shifted by 4 bits (= 16 times) by the extended transformer 15_i to

09652157.0983100

obtain 12 bits of data. Furthermore, the substitution result is expanded to 32 bits by adding 20 bits of leading "0." The 32-bit substitution result is then input to the adder 16_i (step S5 in FIG. 8).

5 The adder 16_i adds the input shift result (32 bits) and the 32-bit second key KB extracted from the temporary key register 11_i, and outputs the sum as the 32-bit extended key Ki to the data randomization part 20 (step S7 in FIG. 8).

10 In this extended key Ki, the 8-bit first key KA transformed by the S box 14_i is located at the 5th to 12th bits from the right (least significant bit). These bit positions correspond to an input to the third and fourth S boxes S3 and S4. Hence, the randomization 15 effect of the S box 14_i in the extended key generator 10 can be reflected in the two S boxes S3 and S4 in the data randomization part 20, thus improving randomness of the extended key.

20 In the data randomization part 20, plaintext is transformed based on extended keys K1 to Kn in units of round functions fr1 to frn, and is finally transformed into ciphertext via intermediate encrypted results.

25 On the other hand, upon decryption, the extended key generator 10 executes key transform processes in reverse order to that in encryption upon receiving the common key KC as in the aforementioned case, and sequentially outputs extended key Kn to K1 to the data

0078077575960

randomization part 20.

The data randomization part 20 transforms the input ciphertext on the basis of the extended keys K_n to K_1 in reverse order to that in encryption, and 5 finally transforms it into plaintext via intermediate decrypted results.

To restate, according to this embodiment, each of the key transform function f_{k1} to f_{kn} executes a nonlinear transform process using the S box 14_i 10 (substitution table) on the basis of the first key K_A obtained from the input key, and the adder 16_i computes a corresponding one of the extended keys K_1 to K_{16} on the basis of the value obtained by left-shifting the transformed result of the S box 14_i , and the second key 15 K_B obtained from the input key.

In this manner, a simple arrangement without any additional external device is used, and a nonlinear transform process using the substitution table (S box 14_i) is done upon generating the extended key K_i . 20 Hence, the apparatus price and scale can be suppressed, and randomness of extended keys can be improved while preventing generation of weak keys, thus improving cryptological robustness.

In each key transform function f_{ki} , since the 25 rotate shifter 17_i rotates the input key to the left (or right), and inputs the rotated key to the key transform function $f_{k(i+1)}$ of the next round,

001680-75755600

keys input to the respective rounds can become easily and reliably different from each other.

Furthermore, assuming that the shift amount of the rotate shifter 17_i is relatively prime to, e.g., the number of output bits of the S box 14_i , nearly all first keys KA in the rounds R1 to Rn can be different from each other, and the aforementioned effect can be obtained more easily and reliably.

Furthermore, in each key transform function f_{ki} ,
10 since the extended transformer 15_i extends and
transforms the transformed result of the S box 14_i , and
inputs the result to the adder 16_i , the randomization
effect of the first key KA can be reflected in an
arbitrary area of the extended key Ki in addition to
15 the aforementioned effects.

Since extended transformation of the extended transformer 15_i is implemented by shifting the predetermined number of bits, the aforementioned effects can be easily and reliably obtained.

Furthermore, since the data randomization part 20 has a plurality of S boxes S1 to S4 for encryption and decryption, and some S boxes of the data randomization part 20 are common to the S boxes 14_i of the key transform functions fk1 to fkn, the device scale can be reduced.

In each of the key transform function fkl to fkn, since the extended transformer 15_i shifts to the left

the transformed result received from the S box 14_i by
the number of bits half that of the transformed result
or the number of bits obtained by an integer multiple
of the number of bits of the transformed result to
5 the half number of bits, and inputs the shift result
to the adder 16_i, the randomization effect of the first
key KA can be reflected in an area left-shifted by the
extended key Ki. In this case, since the randomization
effect of the first key KA can be reflected in
10 an area input to the S boxes S3 and S4 of the data
randomization part 20, cryptological robustness can be
further improved.

(Second Embodiment)

FIG. 10 is a block diagram showing the arrangement
15 of a key transform function applied to an extended key
generator according to the second embodiment of the
present invention. The same reference numerals in
FIG. 10 denote the same parts as those in FIG. 3,
a detailed description thereof will be omitted, and
20 only differences will be explained below. Note that
a repetitive description will also be avoided in the
embodiments to be described later.

That is, this embodiment is a modification of
the first embodiment, and aims at further improving
25 randomness of extended keys. More specifically, in
each key transfer function, the aforementioned
transform elements including the constant registers

12_i, XOR elements 13_i, S boxes 14_i, and extended
transformers 15_i are parallelly connected between the
temporary key register 11_i and adder 16_i, as shown in
FIG. 10.

5 The two S boxes 14_i may be of either one type or
a plurality of types. When a plurality of types of S
boxes are used, those types are preferably set so that
the former group of key transform functions fk1 to fk8,
and the latter group of key transform functions fk9 to
10 fk16 become vertically symmetrical from the central
values (fk8 and fk9), since extended keys K_i must be
able to be generated in both normal and reverse orders
on the basis of the common key KC.

15 The two extended transformers 15_i may have
identical shift amounts. Since the randomization
effect of the two S boxes 14_i must be reflected over
a broader range, the outputs from the S boxes 14_i are
preferably shifted to the left using different shift
amounts. In this case, if one extended transformer 15_i
20 is set to implement 4-bit left shift, and the other
extended transformer 15_i is set to implement 20-bit
left shift, the randomization effect of the first key
KA can be conveniently reflected in all the S boxes S₁
to S₄ of the data randomization part 20.

25 With the aforementioned arrangement, since
randomness using the first key KA can be further
improved, the randomness of extended keys K_i can be

001000-753560

further improved in addition to the effects of the first embodiment.

(Third Embodiment)

FIG. 11 is a block diagram showing the arrangement
5 of an extended key generator according to the third
embodiment of the present invention.

This embodiment is a modification of the first or
second embodiment, and comprises, in place of the
temporary shift register 11_i and rotate shifter 17_i,
10 a substitution part 18_i which nonlinearly substitutes
respective bits of an input common key KC or one of
intermediate keys k_{c1} to k_{cn-1}, inputs some bits of
the obtained intermediate key to the XOR element 13_i
and adder 16_i of the own stage, and also inputs the
15 whole intermediate key to a substitution part 18_(i+1)
of the next stage. Note that the substitution part 18_i
does not substitute respective bits of the input common
key KC.

The respective substitution parts 18_i are set so
20 that the result after n substitutions of the common key
KC in normal order becomes equal to the original common
key KC, since they must be able to generate extended
keys K_i on the basis of the common key KC in both
normal and reverse orders. Also, transformation is
25 done in ascending order upon encryption, and inverse
transformation is done in descending order upon
decryption, as shown in FIG. 12 that exemplifies

00780753560

the number n of rounds = 16. For example, the process of each substitution part 18_i is implemented by rotate-shifting the common key KC to the left by an arbitrary number of bits.

5 In the embodiment shown in FIG. 11, each substitution part 18_i executes a process for nonlinearly transforming the common key KC in step S21 in FIG. 13. In step S23, the XOR element 13_i XORs a first key KA obtained from the substitution part 18_i 10 and a constant held in the constant register 12_i . In step S25, the S box 14_i nonlinearly transforms the XOR output from the XOR element 13_i using a substitution table. In step S27, the extended transformer 15_i shifts the nonlinearly transformed 15 value to the left by 4 bits, thus obtaining a 12-bit extended transformed result. Furthermore, the 12-bit transformed result is expanded to 32 bits by adding 20 bits of leading "0." In step S29, the 32-bit extended transformed result is added to a second key KB 20 obtained from the substitution part 18_i to generate an extended key.

With this arrangement as well, the same effects as in the first or second embodiment can be obtained. In addition, the keys KC and kcl to $kcn-1$ to be input 25 to the key transform functions fkl to fkn can become easily and reliably different from each other.

In the above embodiments, the XOR element 13_i for

00738807-25735960

XORing the constant is connected to the input side of the S box 14_i . However, the present invention is not limited to such specific arrangement. For example, the XOR element 13_i may be omitted, and an S box $14x_i$ after the XOR with a constant is computed may be provided in place of the S box 14_i , thus similarly practicing the present invention and obtaining the same effect. More specifically, the XORs of the value KA and constants may be computed in advance and are held in the form of a table, and the S box $14x_i$ may look up the table using the value KA as an input parameter to obtain a given XOR.

FIG. 14 is a functional block diagram showing the arrangement of a smart card that embodies the aforementioned extended key generator, encryption/decryption unit, and storage medium of the present invention. As shown in FIG. 14, a smart card 51 has a CPU 53, RAM 55, ROM 57, EEPROM 59, and contactor 61. The RAM 55 is used to store various data, and is used as a work area or the like. The ROM 57 is used to store various data, programs, and the like. The EEPROM 59 stores programs and the like shown in the flow charts in FIGS. 8 and 13. The contactor 61 obtains electrical contacts with a smart card reader/writer (not shown). Note that the programs shown in FIGS. 8 and 13 may be stored in the RAM 55 or ROM 57 in place of the EEPROM 59.

(Fourth Embodiment)

An encryption/decryption unit according to
the fourth embodiment of the present invention
will be described below using FIG. 15. This
5 encryption/decryption unit 30 has an arrangement
described in one of the first to third embodiments,
and is used to protect digital information such as
image data, music data, and the like (to be referred to
as raw data hereinafter).

10 Assume that the encryption/decryption unit 30 is
implemented on a personal computer PC by installing
a program from a storage medium, as shown in FIG. 15.
The encryption/decryption unit 30 encrypts raw data
input to the personal computer PC using, e.g., a user
15 ID as a common key, and stores the obtained encrypted
data (corresponding to the aforementioned ciphertext)
in a portable memory element 31. As such memory
element 31, a smart card, smart media, memory card, or
the like may be used.

The memory element 31 is distributed to the user's home, and an encryption/decryption unit (not shown) in the user's home decrypts the encrypted data in the memory element 13 on the basis of the self user ID and reproduces obtained image data or music data from, e.g., a loudspeaker or the like. In this manner, raw data (contents) can be distributed to only users who have made a subscription contract in advance.

Various modifications of this embodiment are available as follows. For example, as shown in FIG. 16, a recording unit 32 comprising the encryption/decryption unit 30 as a hardware circuit may be provided in place of the personal computer PC. With this arrangement, upon writing contents in the memory element 31, the encryption/decryption unit 30 encrypts raw data based on, e.g., a user ID, and stores encrypted data in the memory element 31. The processes from delivery to the home to decryption are the same as those described above. In this manner, the encryption/decryption unit 30 may be provided to the dedicated recording unit 32 in place of a versatile computer such as the personal computer PC and the like.

15 Also, as shown in FIG. 17, a host computer 33 with
the encryption/decryption unit 30 may be connected to
the personal computer PC via a network NW. In this
case, encrypted data downloaded from the host computer
33 is stored in the memory element 32 via the personal
computer PC in the encrypted state. The processes from
20 delivery to the home to decryption are the same as
those described above. According to this modification,
in addition to the aforementioned effect, contents
(raw data) on the network NW can be prevented from
25 eavesdropped.

Furthermore, as shown in FIGS. 18A and 18B, a DVD (digital versatile disc) may be used as the memory

element. In the case shown in FIG. 18A, a DVD 34 that pre-stores encrypted data is distributed to the user. The encryption/decryption unit 30 at the user's home decrypts the encrypted data in the DVD 34, and 5 reproduces obtained image data or music data from a loudspeaker or the like.

Also, in the case shown in FIG. 18B, raw data such as image data, music data, or the like is encrypted by the encryption/decryption unit 30 at the user's home 10 using a predetermined common key, and the obtained encrypted data is stored in a DVD-RAM 35.

This encrypted data is decrypted by the predetermined common key set by the user, but cannot be decrypted by a third party unless the common key is 15 disclosed. Therefore, personal image data and music data can be saved while being protected from third parties.

(Other Embodiments)

AS a storage medium that stores a program for 20 implementing the processes of the extended key generator and encryption/decryption unit of the present invention, a magnetic disk, floppy disk, hard disk, optical disk (CD-ROM, CD-R, DVD, or the like), magnetooptical disk (MO or the like), semiconductor 25 memory, and the like may be used. In practice, the storage format is not particularly limited as long as a storage medium can store the program and can be

read by a computer.

An OS (operating system) which is running on
a computer or MW (middleware) such as database
management software, network software, or the like may
5 execute some of processes that implement the above
embodiment, on the basis of an instruction of the
program installed from the storage medium in the
computer.

Furthermore, the storage medium in the present
10 invention is not limited to a medium independent from
the computer, but includes a storage medium which
stores or temporarily stores a program downloaded from
a LAN, the Internet, or the like.

The number of storage media is not limited to one,
15 and the storage medium of the present invention
includes a case wherein the processes of the above
embodiment are implemented from a plurality of media,
and either medium arrangement may be used.

Note that the computer in the present invention
20 executes processes of the above embodiment on the basis
of programs stored in the storage medium, and can be
either an apparatus consisting of a single device such
as a personal computer, or a system built by connecting
a plurality of devices via a network.

25 The computer in the present invention is not
limited to a personal computer, and includes
an arithmetic processing device, microcomputer, and the

2013-09-27 15:55:00

like included in an information processing apparatus, i.e., includes all devices and apparatuses that can implement the functions of the present invention via programs.

5 The present invention is not limited to a DES cryptosystem but can be applied to any other block cryptosystems using round functions. For example, the present invention may be applied to cryptosystems such as Lucifer, LOKI, MISTY1, MISTY2, and SAFER (Secure and
10 Fast Encryption Routine), and the like.

In the above embodiments, the S box makes nonlinear transformation using a substitution table. Alternatively, the S box may make nonlinear transformation using a wiring pattern.

15 In the embodiment shown in FIG. 10, two sets of transform elements including the constant registers 12_i , XOR elements 13_i , S boxes 14_i , and extended transformers 15_i are parallelly arranged. Alternatively, three or more sets of transform
20 elements may be parallelly arranged.

Various other modifications of the present invention may be made within the scope of the invention.

25 Additional advantages and modifications will readily occur to those skilled in the art. Therefore, the invention in its broader aspects is not limited to the specific details and representative embodiments

shown and described herein. Accordingly, various modifications may be made without departing from the spirit or scope of the general inventive concept as defined by the appended claims and their equivalents.

WHAT IS CLAIMED IS:

1. An extended key generator comprising:
a plurality of cascade-connected key transform
function sections for receiving different keys in units
5 of rounds, and generating extended keys on the basis of
the input keys,
each of said key transform function sections
comprising:

first key transform means for executing a
10 transform process using a predetermined substitution
table on the basis of a first key obtained from the
input key; and

extended key computation means for computing the
extended key on the basis of a transformed result of
15 said first key transform means, and a second key
obtained from the input key.

2. A generator according to claim 1, wherein each
of said key transform function sections comprises:

rotate-shift means for rotate-shifting the input
20 key to the left or right, and inputting the
rotate-shifted key to the key transform function
section of the next round.

3. A generator according to claim 2, wherein a
shift amount of said rotate-shift means is relatively
25 prime to the number of output bits of said first key
transform means.

4. A generator according to claim 1, wherein each

DOCUMENT NUMBER

of said key transform function sections comprises:

input key transform means for transforming the input key using a substitution table, and inputting the transformed key to the key transform function section of the next round.

5 5. A generator according to claim 1, wherein each of said key transform function sections comprises:

extended transform means for extending and transforming a transformed result of said first key transform means, and inputting the extended transformed result to said extended key computation means.

10 6. A generator according to claim 5, wherein the extended transformation of said extended transform means is implemented by shifting a predetermined number 15 of bits.

7. A generator according to claim 6, wherein the shift of the predetermined number of bits is implemented by shifting the transformed result to the left by the number of bits half the number of bits of the transformed result of said first key transform means, or the number of bits obtained by adding an integer multiple of the number of bits of the transformed results to the half number of bits.

20 8. A generator according to claim 1, wherein a computation of said extended key computation means is addition with carry-up.

25 9. An encryption/decryption unit comprising an

extended key generator of claim 1, comprising:

a data randomization part for encrypting input plaintext on the basis of the extended keys generated by said key transform function sections and outputting ciphertext, and decrypting input ciphertext and outputting plaintext.

10. A unit according to claim 9, wherein said data randomization part has a plurality of substitution tables for encryption and decryption, and

some substitution tables of said data randomization part are common to the substitution tables of said first key transform means.

11. An extended key generation method, comprising the steps of:

inputting different keys in units of rounds; generating a first key from the inputted key; transforming the generated first key by using a predetermined substitution table; and computing an extended key on the basis of the transformed result and a second key obtained from the inputted key.

12. A computer readable storage medium which stores a program for making a computer: generate a first key from different keys inputted in units of rounds; transform the generated first key by using a predetermined substitution table; and

0001234567 - 0823000

compute an extended key on the basis of the transformed result and a second key obtained from the inputted key.

13. A medium according to claim 12, in which
5 stores a program for making the computer rotate-shift the inputted key to the left or right, and input the rotate-shifted key to the next round.

14. A medium according to claim 13, wherein
a shift amount of the rotate-shift function is
10 relatively prime to the number of output bits of the first key transform.

15. A medium according to claim 14, in which stores a program for making the computer transform the inputted key using a substitution table, and input the transformed key to the next round.

16. A medium according to claim 12, in which stores a program for making the computer extend and transform the transformed result based on the first key.

20 17. A medium according to claim 12, wherein the extended transform function is implemented by shifting a predetermined number of bits.

25 18. A medium according to claim 17, wherein the shift of the predetermined number of bits is implemented by shifting the transformed result to the left by the number of bits half the number of bits of the transformed result of said first key transform

00000000000000000000000000000000

means, or the number of bits obtained by adding an integer multiple of the number of bits of the transformed results to the half number of bits.

5 19. A medium according to claim 12, wherein the computation of the extended key is addition with carry-up.

~~20.~~ A computer readable storage medium which stores a program for making a computer:

10 generate a first key from different keys inputted in units of rounds;

transform the generated first key by using a predetermined substitution table;

15 compute an extended key on the basis of the transformed result and a second key obtained from the inputted key; and

execute data randomization for encrypting inputted plaintext on the basis of the generated extended keys and outputting ciphertext, and decrypting inputted ciphertext and outputting plaintext.

20 21. A medium according to claim 20, wherein the data randomization has a plurality of substitution tables for encryption and decryption, and

some substitution tables of the data randomization are common to the substitution tables used in transformation based on the first key.

25 ~~22.~~ An extended key generator comprising:
 a plurality of cascade-connected key transform

00000000000000000000000000000000

function sections for receiving different keys in units of rounds, and generating extended keys on the basis of the inputted keys,

each of said key transform function sections
5 comprising:

a plurality of extended transform elements that form a parallel circuit, each of said extended transform elements including:

10 a constant register for holding a constant, XOR computation means for computing an XOR of the constant held in said constant register, and a first key obtained from the inputted key,

15 an S box for executing a transform process using a predetermined substitution table on the basis of a value outputted from said XOR computation means, and

an extended transformer for extending and transforming a transformed result outputted from said S box; and

20 extended key computation means for computing extended keys on the basis of the transformed results outputted from said plurality of extended transform elements, and a second key obtained from the inputted key.

25 23. A computer readable storage medium which is used in an extended key generator having a plurality of cascade-connected key transform function sections for receiving different keys in units of rounds,

and generating extended keys on the basis of
the inputted keys,

 said medium storing a program for making
a computer in said extended key generator implement:

5 as each of the key transform function sections,
 a plurality of extended transform elements which
form a parallel circuit, each extended transform
elements including:

10 a constant register for holding a constant,
 XOR computation means for computing an XOR of the
constant held in said constant register, and a first
key obtained from the inputted key,

15 an S box for executing a transform process using
a predetermined substitution table on the basis of
a value outputted from said XOR computation means, and
 an extended transformer for extending and
transforming a transformed result outputted from said S
box; and

20 extended key computation means for computing
extended keys on the basis of the transformed results
outputted from said plurality of extended transform
elements, and a second key obtained from the inputted
key.

24. An extended key generator comprising:

25 a plurality of cascade-connected key transform
function sections for receiving different keys in units
of rounds, and generating extended keys on the basis of

the inputted keys,

each of said key transform function sections comprising:

5 a substitution part for nonlinearly substituting the inputted key, and outputting the substituted result;

10 first key transform means for executing a transform process using a predetermined substitution table on the basis of a first key outputted from said substitution part; and

extended key computation means for computing the extended key on the basis of a transformed result of said first key transform means, and a second key outputted from said substitution part.

15 ~~25.~~ An extended key generation method, comprising the steps of:

inputting different keys in units of rounds; nonlinearly substituting the inputted key; transforming a first key obtained from the 20 substitution by using a predetermined substitution table; and

computing an extended key on the basis of a transformed result, and a second key obtained from the substitution.

25 ~~26.~~ A computer readable storage medium which stores a program for making a computer:

generate a first key from different keys inputted

065522157-083100

in units of rounds;
nonlinearly substitute the inputted key;
transform a second key obtained from the
substitution by using a predetermined substitution
table; and
compute an extended key on the basis of a
transformed result, and a second key obtained from the
substitution.

ABSTRACT OF THE DISCLOSURE

There are disclosed an extended key generator,
encryption/decryption unit, and storage medium, in
which as each of key transform functions, a transform
5 process is done by an S box (substitution table) on the
basis of a first key obtained from the inputted key,
and an adder computes a corresponding one of extended
keys on the basis of a value obtained by shifting the
transformed result of the S box to the left, and a
10 second key obtained from the inputted key.

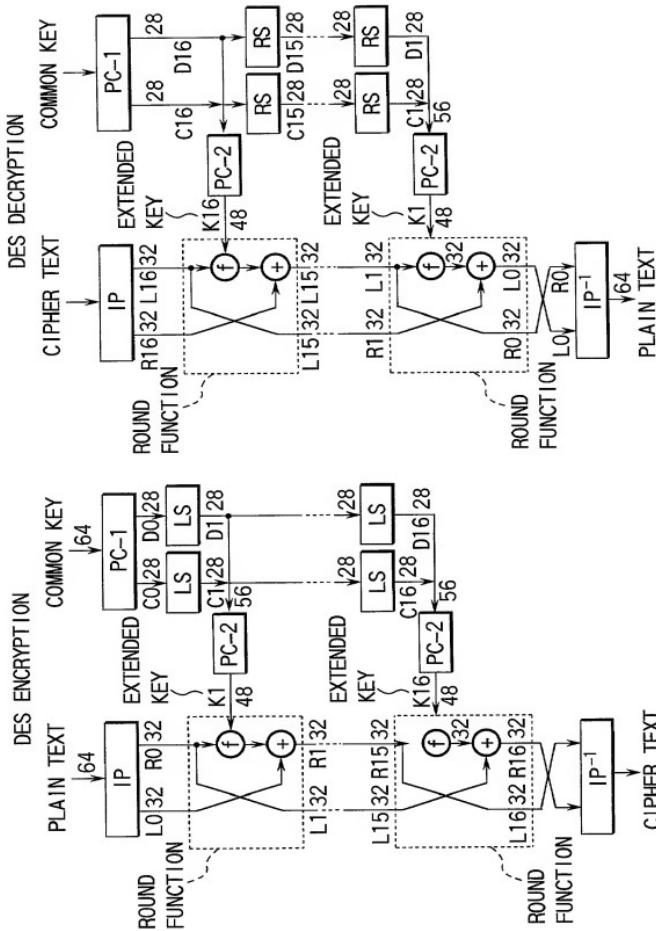
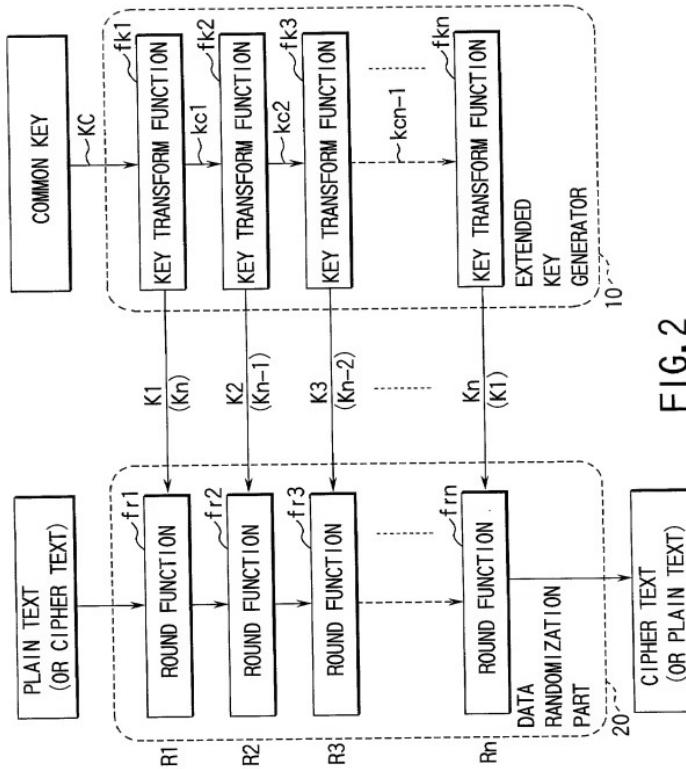
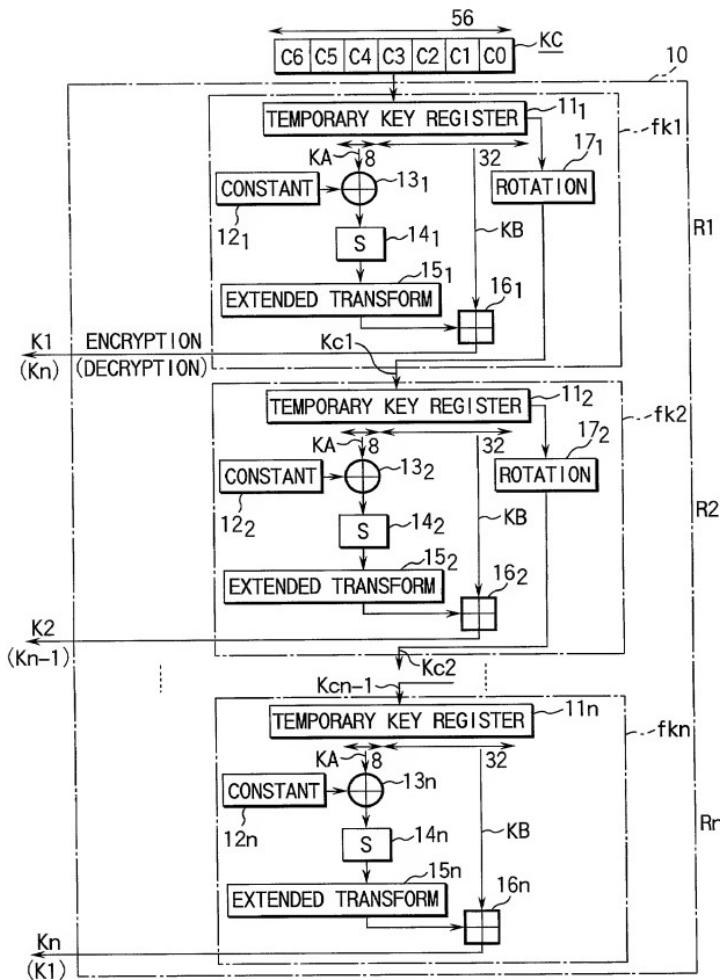


FIG. 1A

FIG. 1B

**FIG. 2**



KEY TRANSFORM FUNCTION f_k	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
VALUE OF CONSTANT REGISTER	0	1	2	3	4	5	6	7	7	6	5	4	3	2	1	0

FIG. 4A

KEY TRANSFORM FUNCTION f_k	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
VALUE OF CONSTANT REGISTER	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
VALUE OF CONSTANT REGISTER	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0

FIG. 4B

48,	54,	216,	182,	175,	5,	130,	229,	107,	52,	86,	11,	12,	221,	14,	15,
59,	4,	41,	140,	22,	164,	7,	89,	124,	81,	225,	176,	101,	66,	30,	118,
126,	242,	44,	211,	18,	161,	249,	105,	222,	174,	141,	202,	34,	103,	87,	233,
71,	49,	187,	51,	39,	1,	91,	77,	181,	172,	55,	42,	199,	79,	62,	194,
64,	72,	68,	133,	190,	158,	165,	232,	231,	115,	186,	116,	217,	240,	129,	171,
74,	169,	204,	173,	57,	58,	93,	17,	159,	245,	241,	155,	92,	156,	94,	26,
132,	82,	109,	230,	227,	28,	131,	209,	170,	25,	106,	73,	85,	98,	128,	143,
237,	108,	160,	61,	21,	179,	254,	197,	38,	122,	235,	70,	125,	31,	40,	102,
246,	119,	207,	53,	214,	111,	63,	135,	184,	236,	138,	56,	19,	29,	213,	88,
144,	145,	243,	127,	148,	137,	189,	151,	78,	153,	123,	183,	114,	157,	255,	252,
33,	6,	147,	163,	84,	97,	166,	167,	192,	0,	10,	208,	117,	196,	9,	16,
27,	206,	177,	104,	195,	83,	24,	75,	150,	203,	188,	50,	100,	69,	20,	180,
134,	193,	168,	8,	251,	247,	149,	201,	200,	112,	43,	142,	139,	205,	212,	37,
60,	226,	210,	154,	239,	80,	244,	215,	3,	120,	45,	23,	67,	99,	219,	223,
250,	220,	191,	32,	185,	253,	121,	13,	36,	228,	96,	162,	136,	46,	238,	146,
110,	178,	152,	2,	90,	234,	95,	65,	248,	113,	224,	35,	76,	218,	198,	47,

FIG. 5

NUMBER OF ROUNDS	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
ENCRYPTION (LEFT ROTATION)	9	9	11	11	13	13	13	10	13	13	11	11	9	9	9	9
DECRIPTION (RIGHT ROTATION)	9	9	11	11	13	13	13	10	13	13	13	11	11	9	9	9
KEY TRANSFORM FUNCTION f_{k_i}	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	

FIG. 6

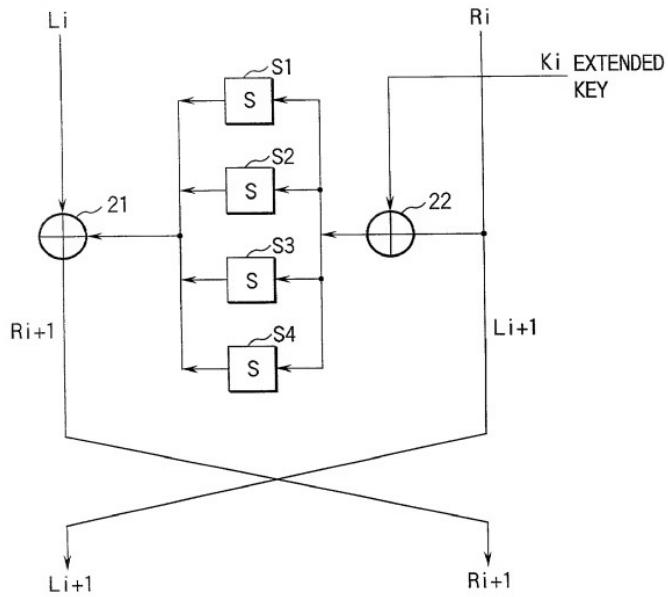


FIG. 7

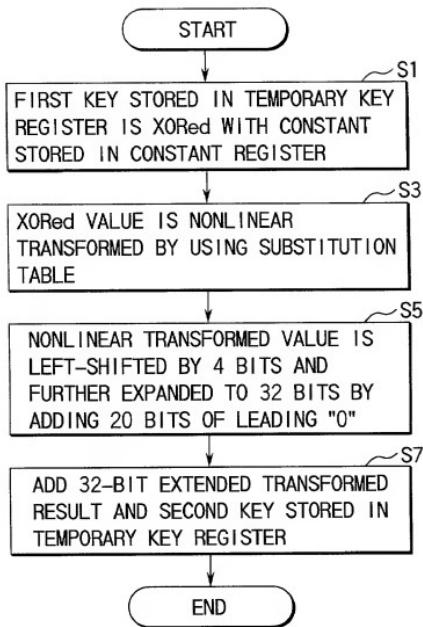


FIG. 8

DATA 80" < ST25960

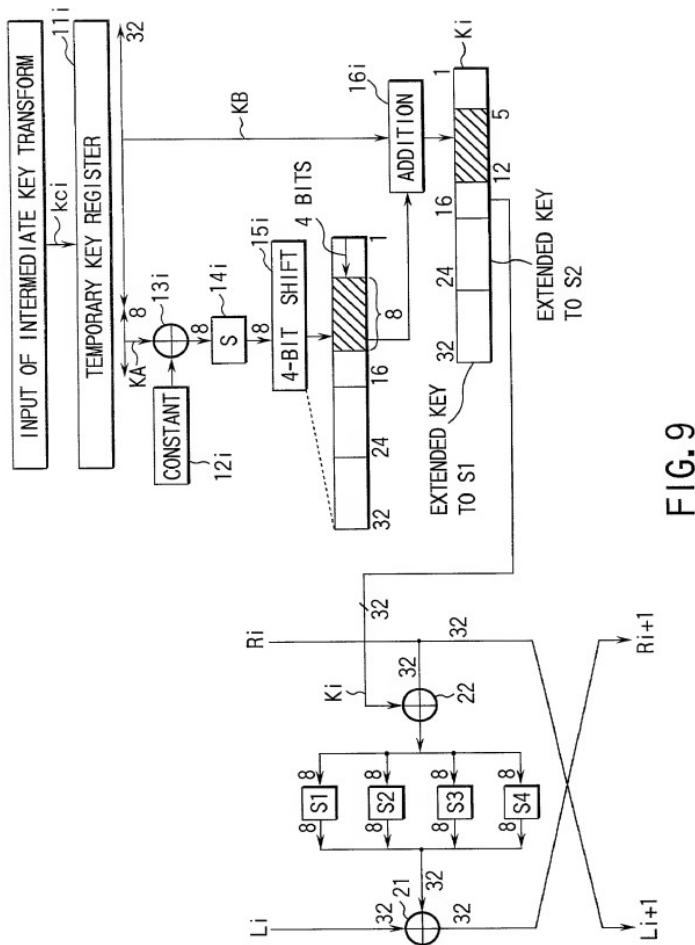


FIG. 9

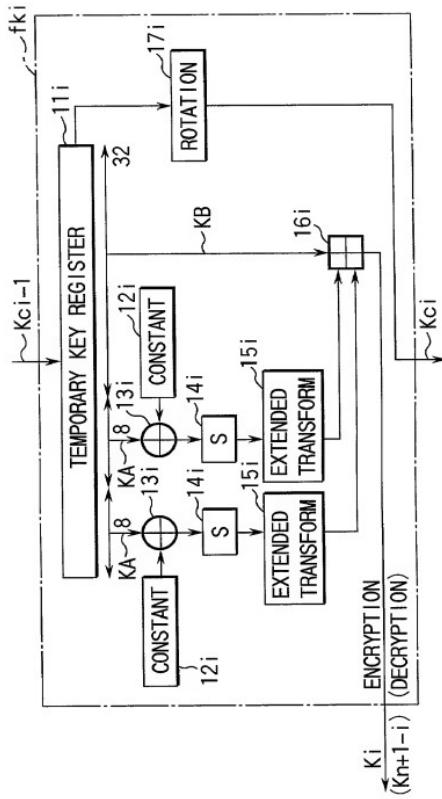
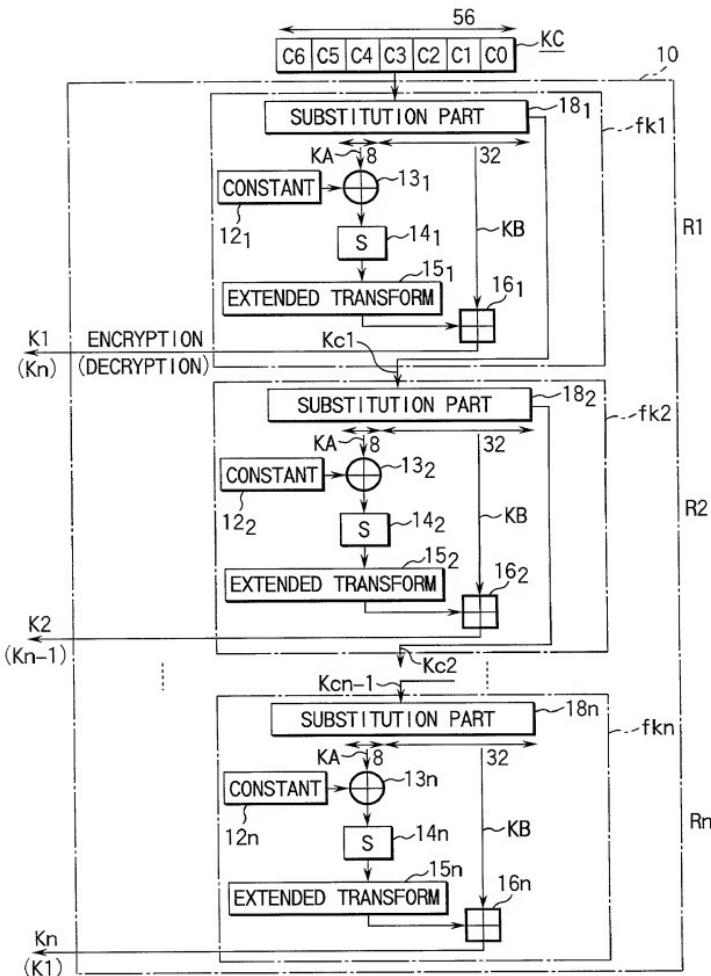


FIG. 10

**FIG. 11**

NUMBER OF ROUNDS	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
PERMUTATION ENCRYPTION	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	P11	P12	P13	P14	P15	P16
INPUT PROCESS	P16 ⁻¹	P15 ⁻¹	P14 ⁻¹	P13 ⁻¹	P12 ⁻¹	P11 ⁻¹	P10 ⁻¹	P9 ⁻¹	P8 ⁻¹	P7 ⁻¹	P6 ⁻¹	P5 ⁻¹	P4 ⁻¹	P3 ⁻¹	P2 ⁻¹	P1 ⁻¹

FIG. 12

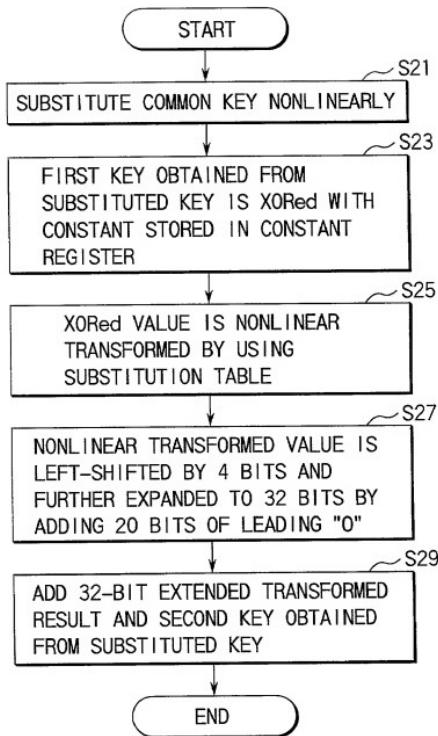


FIG.13

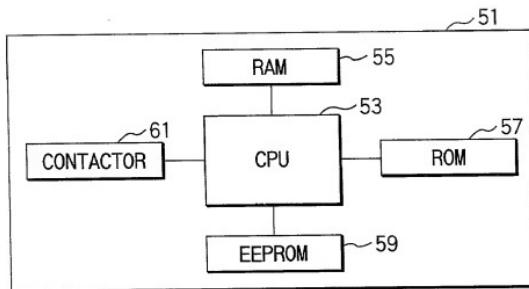


FIG. 14

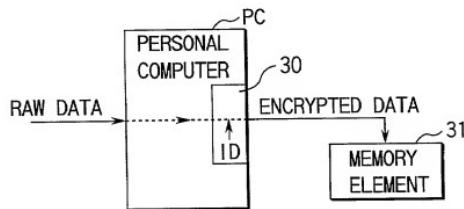


FIG. 15

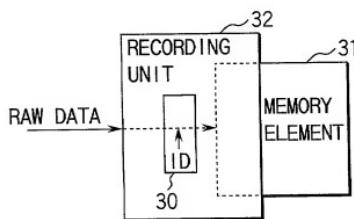


FIG. 16

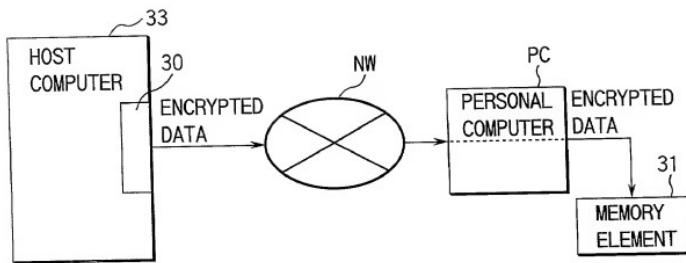


FIG. 17

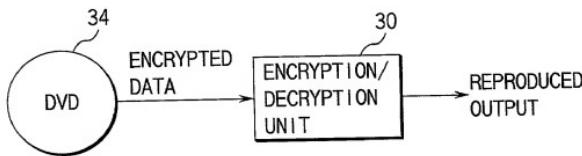


FIG. 18A

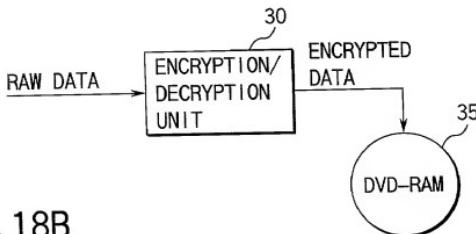


FIG. 18B